

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: J. David Brown et al.

Title: BYPASS FOR GLAUCOMA DRAINAGE DEVICE

Docket No.: 600.592US1

Filed: September 16, 2003

Examiner: Unknown

Customer No.: 21186



Serial No.: 10/664,409

Due Date: N/A

Group Art Unit: Unknown

Confirmation No.: Unknown

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

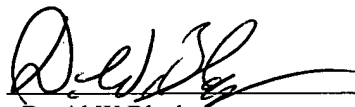
☒ A return postcard.

☒ An Information Disclosure Statement (2 pgs.), Form 1449 (1 pg.), and copies of 9 cited documents.

If not provided for in a separate paper filed herewith, Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number 21186

By: 
Atty: David W Black
Reg. No. 42,331

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 3rd day of December, 2003.

Gulim Abilova
Name

Gulim Abilova
Signature

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
(GENERAL)

Customer Number 21186



S/N 10/664,409

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Serial No.:	10/664,409	Group Art Unit:	Unknown
Filed:	September 16, 2003	Docket:	600.592US1
Title:	BYPASS FOR GLAUCOMA DRAINAGE DEVICE		

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Information Disclosure Statement considered.

INFORMATION DISCLOSURE STATEMENT

Serial No : 10/664409

Filing Date: September 16, 2003

Title: BYPASS FOR GLAUCOMA DRAINAGE DEVICE

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The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

The present application is either a U.S. national patent application filed after June 30, 2003 or an international application that entered the national stage under 35 U.S.C. § 371 after June 30, 2003. Thus, Applicant believes that the U.S. Patent & Trademark Office has waived the requirement under 37 C.F.R. 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication. The waiver is provided in a pre-OG notice from the U.S. Patent & Trademark Office entitled "Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003" and dated July 11, 2003. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

Respectfully submitted,

J. DAVID BROWN ET AL.

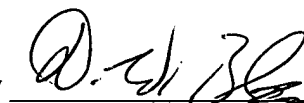
By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6911

Date

December 3, 2003

By



David W Black

Reg. No. 42,331

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Name

Gulim Abilova

Signature

Gulim Abilova

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number 10/664,409

Filing Date September 16, 2003

First Named Inventor Brown, J.

Group Art Unit Unknown

Examiner Name Unknown

Sheet 1 of 1

Attorney Docket No: 600.592US1

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
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OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		BROWN, J. DAVID, et al., "In Vitro Simulation of Flow Characteristics of Valved Glaucoma Drainage Devices After Fibrous Capsule Formation", <u>ARVO, Abstract No. 3308, (2003), 1 page</u>	
		FRANCIS, BRIAN A., et al., "Characteristics of Glaucoma Drainage Implants during Dynamic and Steady-state Flow Conditions", <u>Ophthalmology, 105 (9), (1997), 1708-1714</u>	
		LICHTER, PAUL R., "Expectations From Clinical Trials", <u>Arch. Ophthalmol, 120, (Oct. 2002), 1371-1372</u>	
		MOLTENO, A.C. B., "New implant for drainage in glaucoma", <u>Brit. J. Ophthal., 53, (1969), 606-615</u>	
		PAN, TINGRUI, et al., "A Microfluid Test-Bed with Nanopore Membranes for In Vitro Simulation of Flow Characteristics of Glaucoma Drainage Devices", <u>Proc. 2nd IEEE EMBS/BMES, 3, Houston, Texas, (2002), 1830-1831</u>	
		PRATA, JOAO ANTONIO, et al., "In Vitro and In Vivo Flow Characteristics of Glaucoma Drainage Implants", <u>Ophthalmology, 102 (6), (June 1995), 894-904</u>	
		WILCOX, MICHAEL J., et al., "Pathophysiology of Artificial Aqueous Drainage in Primate Eyes with Molteno Implants", <u>Journal of Glaucoma, 3 (2), (1994), 140-151</u>	
		WILSON, M. ROY, et al., "Ahmed Glaucoma Valve Implant vs. Trabeculectomy in the Surgical Treatment of Glaucoma: A Randomized Clinical Trial", <u>American Journal of Ophthalmology, 130 (3), (Sept. 2000), 267-273</u>	
		ZAPPE, R. W., "Flow Through Valves", <u>In Valve Selection Handbook, 4th Ed., Gulf Publishing Company, Houston, Texas, (1998), 27-37</u>	

EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached